

United States Department of Agriculture  
 Animal and Plant Health Inspection Service  
 4700 River Road  
 Riverdale, MD 20737

**Permit to Move Live Plant Pests, Noxious Weeds, and Soil**  
 Interstate Movement  
**Regulated by 7 CFR 330**

This permit was generated electronically via the ePermits system

<b>PERMITTEE NAME:</b>	Mr. Steven Koike	<b>PERMIT NUMBER:</b>	P526P-18-02360
<b>ORGANIZATION:</b>	TriCal Diagnostics	<b>APPLICATION NUMBER:</b>	P526-180131-018
<b>ADDRESS:</b>	8770 Highway 25 Hollister, CA 95023	<b>FACILITY NUMBER:</b>	4742
<b>MAILING ADDRESS:</b>	8100 Arroyo Circle Gilroy, CA 95020	<b>HAND CARRY:</b>	No
<b>PHONE:</b>	408-612-6729	<b>DATE ISSUED:</b>	06/07/2018
<b>FAX:</b>		<b>EXPIRES:</b>	<b>06/07/2021</b>
<b>DESTINATION:</b>	8770 Highway 25, Hollister, CA 95023		
<b>RELEASE:</b>	No		

Under the conditions specified, this permit authorizes the following:


<u>Regulated Article</u>	<u>Life Stage(s)</u>	<u>Intended Use</u>	<u>Shipment Origins</u>	<u>Originally Collected</u>	<u>Culture Designation</u>
Bacteria	Pest-infected plant material, Plant Parts, Seeds, Whole Plants	Diagnostic Labs	Continental U.S.	Originally Collected from Within the Continental U.S.	
Fungi	Pest-infected plant material, Plant Parts, Seeds, Whole Plants	Diagnostic Labs	Continental U.S.	Originally Collected from Within the Continental U.S.	
Nematodes	Pest-infected plant material, Plant Parts, Seeds, Whole Plants	Diagnostic Labs	Continental U.S.	Originally Collected from Within the Continental U.S.	
Plant parts with/without Soil	Pest-infected plant material, Plant Parts, Seeds, Whole Plants	Diagnostic Labs	Continental U.S.	Originally Collected from Within the Continental U.S.	
Viruses/Viroids	Pest-infected plant material, Plant Parts, Seeds, Whole Plants	Diagnostic Labs	Continental U.S.	Originally Collected from Within the Continental U.S.	

**PERMIT GUIDANCE**

- 1) This permit does not authorize importation, interstate movement, possession, and/or use of strains of genetically engineered regulated organisms (created by the use of recombinant DNA technology).
- 2) If an animal pathogen is identified in your shipment, to ensure appropriate safeguarding, please refer to [http://www.aphis.usda.gov/import\\_export/animals/animal\\_import/animal\\_imports\\_an\\_products.shtml](http://www.aphis.usda.gov/import_export/animals/animal_import/animal_imports_an_products.shtml)

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**Zhaowei Liu**

DATE

**06/07/2018**

WARNING: Any alteration, forgery or unauthorized use of this Federal Form is subject to civil penalties of up to \$250,000 (7 U.S.C.s 7734(b)) or punishable by a fine of not more than \$10,000, or imprisonment of not more than 5 years, or both (18 U.S.C.s 1001)

- 3) If a human pathogen is identified, please refer to the CDC Etiologic Agent Import Permit Program at <http://www.cdc.gov/od/eaipp/>
- 4) This permit does not fulfill the requirements of other federal or state regulatory authorities. Please contact the appropriate agencies, such as the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, the APHIS Biotechnology Regulatory Services, or your State's Department of Agriculture to ensure proper permitting.
- 5) If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment facilities may take a longer period of time to process.
- 6) When the regulated material includes Domestic soil  
You must abide by all local quarantines see: [http://www.aphis.usda.gov/planthealth/pests\\_and\\_diseases](http://www.aphis.usda.gov/planthealth/pests_and_diseases)  
Of special concern for movement of soil from certain continental areas are: IMPORTED FIRE ANTS: <http://www.aphis.usda.gov/plant-health/ifa>; GOLDEN NEMATODES: <http://www.aphis.usda.gov/planthealth/gna>; POTATO/PALE CYST NEMATODE: <http://www.aphis.usda.gov/planthealth/pcn>; PHYTOPHTHORA RAMORUM (Sudden Oak Death): <http://www.aphis.usda.gov/plant-health/sod>

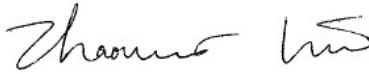
**PERMIT CONDITIONS**

USDA-APHIS issues this permit to Steven Koike with TriCal Diagnostics in Hollister, California. This permit authorizes the interstate movement of the listed regulated materials/organisms from the listed states.

This permit authorizes Steven Koike with TriCal Diagnostics in Hollister, California to use the regulated materials/organisms for diagnostic research in the APHIS approved facility #4742.

- 1.
  - This permit is issued by the United States Department of Agriculture's Animal and Plant Health Inspection Service (APHIS). It conveys APHIS regulations and requirements for the material(s) listed on this permit. It does not reduce or eliminate your legal duty and responsibility to comply with all other applicable Federal and State regulatory requirements.
  - The permit number or a copy of the permit must accompany the shipment.
  - You must be an individual at least 18 years old, or legal entity such as partnership, corporation, association, or joint venture.
  - You are legally responsible for complying with all permit requirements and permit conditions.
  - The regulated material and shipping container(s) are subject to inspection by officials of Custom

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and Border Protection (CBP) and APHIS. CBP or APHIS officials may require the shipment to be treated, seized, re-exported, or destroyed (in part or whole). You will be responsible for expenses.


- If you violate any applicable laws associated with this permit, you may face substantial civil or criminal penalties. We may cancel all current permits and deny future permit applications.
- Without prior notice and during reasonable hours, authorized Federal and State Regulators must be allowed to inspect the conditions associated with the regulated materials/organisms authorized under this permit.

2. The permit holder must:

- maintain a valid PPQ526 permit so long as the regulated materials/organisms are alive or viable,
- not assign or transfer this permit to other persons without APHIS PPQ authorization,
- maintain an official permanent work assignment, residence, or affiliation at the address on this permit,
- notify the Pest Permit Staff as soon as possible of any change in the permit holder's work assignment, residence, or affiliation,
- notify the Pest Permit Staff of the receipt of unauthorized and/or misdirected shipments of regulated materials/organisms,
- adequately mitigate environmental impacts resulting from unauthorized release of regulated materials/organisms and notify the Pest Permit staff immediately if one occurs,
- notify the Pest Permit Staff if the facility is damaged/destroyed or if you wish to decommission the facility,
- destroy all regulated materials/organisms prior to departure from the organization unless other arrangements are confirmed by the Pest Permit Staff.

Notifications to the Pest Permit Staff must be made via 866-524-5421 or

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pest.permits@aphis.usda.gov within one business day of the event triggering a notification.

3. This permit does not authorize movement or use of plant pathogens listed in the Public Health Security and Bioterrorism Preparedness and Response Act of 2002. If any organism listed as a Select Agent is identified from materials associated with this research, the permit holder is required to notify APHIS, Agriculture Select Agent Services (AgSAS) immediately by phone at 301-851-3300 option 3, and within seven (7) days submit APHIS/CDC Form 4A (Report of Identification of a Select Agent or Toxin in a Clinical or Diagnostic Laboratory) to APHIS, AgSAS; 4700 River Rd, Unit 2, Riverdale, MD 20737 (see instructions at: [https://www.selectagents.gov/resources/APHIS-CDC\\_Form\\_4\\_Guidance\\_Document.pdf](https://www.selectagents.gov/resources/APHIS-CDC_Form_4_Guidance_Document.pdf)). Failure to comply with this requirement is a violation of the Agricultural Bioterrorism Protection Act of 2002. Plant pathogen select agents currently listed include: *Peronosclerospora philippinensis* (*Peronosclerospora sacchari*), *Conothyrium glycines* (formerly *Phoma glycinicola* and *Pyrenochaeta glycines*), *Ralstonia solanacearum*, *Rathayibacter toxicus*, *Sclerophthora rayssiae*, *Synchytrium endobioticum*, and *Xanthomonas oryzae*.
4. All persons working with the listed regulated materials/organisms must be informed of these permit conditions. Anyone working with these materials/organisms must agree to and sign/initial these conditions before beginning work. These signed conditions do not need to be submitted to USDA/APHIS but must be readily accessible and made available to Federal and State regulators upon request.

Note: these conditions may be copied and stored electronically for electronic signature and initialing provided that the permit number, authorized materials/organisms and life stages, release locations if applicable, and authorization statement all appear on the document with the permit number. Signing these conditions only indicates that the person working under this permit has read them; the permit holder is the sole responsible party under this permit.

5. All packages for transport must minimally consist of both inner/primary and outer/secondary packages securely sealed so that both are effective barriers to escape or unauthorized dissemination of the listed materials/organisms. The inner/primary package(s) will contain all regulated materials/organisms and must be cushioned and sealed in such a way that it remains sealed during shock, impact, and pressure changes that may occur. The outer/secondary shipping container must be rigid and strong enough to withstand typical shipping conditions (dropping, stacking, impact from other freight, etc.) without opening.
6. Upon receipt all samples potentially containing mobile arthropod life stages must be placed in a refrigerator for at least 4 hours prior to opening the package. Following this initial processing, living samples must be placed in sealed containers for subsequent diagnostic determinations.
7. All packages must be opened within the APHIS approved facility identified above. Whenever possible packages must be opened within a Class II or III biosafety cabinet, otherwise packages must be opened within an area dedicated for this purpose within this facility.

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8. This authorization is strictly for diagnostic activities in a controlled environment only. Plant inoculations are authorized in the laboratory or growth chamber only as necessary to confirm a diagnosis.
9. Vector transmission is not permitted under this authorization. Only mechanical inoculation of plants is permitted.
10. Measures to control insect vectors (e.g. black lights, yellow sticky boards, insecticides) must be in place.
11. Placement of organisms into a culture collection or any other research with these organisms is not authorized under this permit. Separate 526 permits are required for these activities.
12.
  - Adequate protective clothing must be worn when working with soil and infected/infested samples so that movement of plant pests out of the facilities on hands, clothing, and shoes does not occur.
  - The laboratory floor must be uniform (i.e., no cracks/defects) and made of materials that can be cleaned. The floor must be maintained free of soil and infected/infested material.
  - Work benches must be uniform (i.e., no crack/defects) and made of materials that can be cleaned. When not working with the regulated material, the benches must be cleaned and maintained free of soil and infected/infested material.
  - Any sink/laboratory water that may contain live nematode life stages harbored by infested soil, infested growing media, and infected plant materials must be equipped with traps that allow collection of waste water. The waste water must be treated according to the devitalization and waste disposal condition in this permit before final disposal.
13. All waste water from diagnostic procedures that potentially contains viable plant pathogens or nematodes must be sufficiently treated to kill, or render non-viable, all pathogens or nematodes prior to disposal.
14. Diagnostic samples must be maintained within the APHIS approved facility identified above. All stored samples must be kept in a locked area with access restricted to authorized personnel.
15. A record of all plant pathogens and pests identified in the regulated material must be maintained at the APHIS approved containment facility identified above. These records must be available to Federal and State regulators upon request.
16. Reporting Requirements:

The permit holder or authorized individual must notify APHIS Plant Protection and Quarantine (PPQ) Pests Pathogens and Biocontrol Permit Branch (PPBP) within 10 working days of the confirmation that an organism is identified as (a) a species new to science, (b) an organism not known to occur in the United States, or (c) a pest managed by an APHIS program (program pest);

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[http://www.aphis.usda.gov/plant\\_health/plant\\_pest\\_info/index.shtml](http://www.aphis.usda.gov/plant_health/plant_pest_info/index.shtml)). Include the permit number, the origins and dates of receipt of the samples, and the identified organism. The notification must be sent to USDA/APHIS/PPQ/RPM/PPB, 4700 River Rd., Unit 133, Riverdale, MD 20737, faxed to 301-734-8700 or emailed to [Pest.Permits@aphis.usda.gov](mailto:Pest.Permits@aphis.usda.gov) as Word, Excel or pdf documents. Notifications must also be sent to the State Plant Health Director (SPHD) and State Plant Regulatory Official (SPRO) of the state of origin of the specific sample within 10 working days (see [http://www.aphis.usda.gov/services/report\\_pest\\_disease/report\\_pest\\_disease.shtml](http://www.aphis.usda.gov/services/report_pest_disease/report_pest_disease.shtml) and <http://www.nationalplantboard.org/member/index.html> for contact information).

17. DEVITALIZATION AND WASTE DISPOSAL

All regulated materials/organisms and all items coming in direct contact or exposed to the regulated materials/organisms must be sterilized/sanitized/decontaminated prior to removal from the authorized containment facility. This includes all items from shipping, culturing, care, and maintenance of these regulated materials/organisms. This requirement includes but is not necessarily limited to: packaging directly exposed to the regulated materials/organisms, substrates (culture media, soil, plant materials (food materials or host plants)), leftover/unused/unneeded live cultures, and dead specimens/cultures unless specified otherwise in the permit.

All waste materials must be treated by one of the follow methods prior to disposal:

Use any of the following, either alone or in combination: 1) autoclaved (see protocol below), 2) disposed of as medical /biohazard waste with bonded contracted company (organisms and/or contaminated waste must be stored in sealed containers prior to pick up by this company), 3) incinerated, 4) immersed in 5 percent sodium hypochlorite (household bleach from the bottle is 5 percent) for at least 20 minutes, or 5) immersed in 70 percent alcohol for at least 30 minutes. Treated waste will be double bagged prior to disposal.


Other sterilization methods are only allowed with prior written agreement from the USDA/APHIS PPQ Pest Permit Staff.

Any equipment, supplies, tools, secondary shipping containers, packing materials (e.g, ice packs), etc. must be sterilized/sanitized/decontaminated prior to reuse, disposal, or removal from containment.

If using an autoclave the following protocol must be used:

- a. Waste must be autoclaved at 121 Celsius (250 Fahrenheit) for a minimum of 30 minutes at 15 psi.
- b. Autoclave tape or other indicators must be placed on each load prior to treatment. The autoclave tape or other indicator on each container must be checked to verify color change before disposal.
- c. The autoclave must be calibrated according to the manufacturer's instructions annually and a written record must be maintained.
- d. Every 3 months, you must use a commercially available biological indicator kit that uses bacterial spores of *Geobacillus stearothermophilus* that are rendered unviable at 121 Celsius (250

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Fahrenheit). You must follow the manufacturer's instructions. If any growth is observed, you must have the autoclave serviced and retested.

18. There is to be no further movement or distribution of the listed regulated materials/organisms within the United States and its territories unless the recipient holds, or is named as a responsible party on a valid PPQ526 permit for receipt of such materials/organisms.

**END OF PERMIT CONDITIONS**

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